

12/05/01



JC923 U.S. PTO

Attorney's Docket No.: ZMSI001PPP2

JC927 U.S. PTO

10/004453



12/05/01

**CONTINUATION-IN-PART**  
**PATENT APPLICATION TRANSMITTAL LETTER**

**Box Patent Application**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is a Continuation-in-Part patent application:

Inventor(s): David S. Soane, Michael R. Houston and Toshiaki Hino

for the invention entitled: "Near-Net-Shape Polymerization Process and Materials  
Suitable for Use Therewith".

1. This application is a continuation-in-part of co-pending prior application:

Serial No.: 09/511,661  
Date Filed: 02/22/2000  
Group Art Unit: 1711  
Examiner: Jeffrey Mullis

The prior application is presently assigned to: ZMS, LLC

2. Enclosed are the following:

- ☒ Specification having 27 pages, including 18 claim(s) and an Abstract
- ☒ Declaration and Power of Attorney (4 pages)
- ☐ Information Disclosure Statement and Form PTO-1449
- ☒ Fee Transmittal Sheet
- ☒ Credit Card Payment Form PTO-2038
- ☒ Postcard indicating receipt of this application. Please date-stamp and return the postcard.

3. Address all future communications to:

Jacqueline S. Larson  
Customer No. 23979

Bar Code:



**23979**

PATENT TRADEMARK OFFICE

Date:

Dec. 3, 2001

(408) 615-0502

Respectfully submitted,

*Jacqueline S. Larson*  
Jacqueline S. Larson, Reg. No. 30,279  
Attorney for Applicant(s)  
P.O. Box 2426  
Santa Clara, CA 95055-2426

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$370.00

## Complete if Known

Application Number	not assigned
Filing Date	on Evendate Herewith
First Named Inventor	David S. Soane
Examiner Name	not assigned (in parent: J. Mullis)
Group Art Unit	not assigned (in parent: 1711)
Attorney Docket No.	ZMSI-001PPP2

10/004453 PTO  
12/06/01

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1)			\$370.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
18	-20** = 0	9.00	0.00
3	-3** = 0	42.00	0.00
Multiple Dependent			

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non - English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

## SUBMITTED BY

Name (Print/Type) Jacqueline S. Larson

Registration No. (Attorney/Agent)

30,279

## Complete (if applicable)

Telephone

408-615-0502

Signature

Jacqueline S. Larson

Date

December 3, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.